

Section	Subject	Title
Health & Safety	Security Operations	Physical Security

Purpose and Authority

SMUD is a public power utility that owns and operates electrical infrastructure that delivers reliable power to the public and is part of the U.S. Bulk Electric System. SMUD maintains processes and documents to protect the physical security of its Properties.

This policy establishes SMUD’s commitment to ensuring the implementation of its security program that seeks to prevent, manage, and mitigate risk of physical incidents. This is done by establishing and executing procedures to control access, and to deter, delay, detect, assess, respond, and investigate incidents.

SMUD’s Chief Financial Officer has overall responsibility for the physical security program. In addition, other Executive Officers, including the Chief Operating Officer, Chief Legal and Government Affairs Officer, and Chief Information Officer, are responsible for executing various components of this program.

Implementation

The Chief Financial Officer or delegate shall establish program documentation which establishes policies, procedures, controls, and guidelines to achieve the security program objectives.

Access to SMUD Properties

SMUD shall develop policies, processes, and procedures to ensure the safety of SMUD Workforce Personnel and the public. The policies, processes, and procedures will outline the controls and restrictions to all SMUD Properties, and restricts access based on need as determined by SMUD, adhering to applicable laws, regulations, and standards such as North American Electric Reliability Corporation (NERC) Reliability Standards and Nuclear Regulatory Commission (NRC) regulations.

During times of elevated, imminent threats, safety and/or security concerns, Security Operations, under the direction of the Chief Financial Officer or delegate, reserves the right to deploy additional security measures, controls, and further restrict or limit access to its Properties to increase its security posture.

Employee Training, Awareness and Behavior

SMUD Workforce Personnel play a vital role in supporting SMUD’s Physical Security Program by being diligent in the protection of SMUD Properties, reporting suspicious activity immediately and maintaining situational awareness of conditions and/or unknown personnel in their work environment.

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SMUD Workforce Personnel with CIP access shall receive annual training.

SMUD Workforce Personnel and Visitors are required to adhere to all access control policies and procedures, promptly report issues or malfunctions of security controls and follow instructions of security personnel.

SMUD Workforce Personnel and Visitors should remain aware of others in their vicinity and report any concerns or suspicious activity to Security Operations.

When appropriate due to a security threat, Security Operations shall notify and assist local law enforcement in accordance with established procedures and training.

First Responder Response

During events requiring first responders to respond, SMUD Security Operations will coordinate, facilitate, escort, and assist first responders by following established procedures (i.e., CIP Exceptional Circumstances).

During any such event, SMUD Workforce Personnel and Visitors will comply with and follow all directions issued by first responders on scene.

Related References

NERC Critical Infrastructure Protection Standards

Administrative Policies

AP 05.03.02 – Background Investigations

AP 06.02.02 – Emergency Preparedness

AP 06.03.02 – Violence Prevention in the Workplace

AP 08.01.01 – CIP Cyber Security

Management Procedures

MP 06.02.02.100 – Emergency Action Plan

MP 06.03.01.100 – Identification Access Badges

MP 06.03.01.101 – Key Management

MP 06.03.02.100 – Crisis Intervention & Action

MP 08.01.01.102 – CIP Access Management Program

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